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PROJECT DOCUMENT

Support to the United Nations Resident Coordinator's Office in South Sudan

Development and implementation of the New Deal

Project number 00061390

Support for development and implementation of a New Deal compact in South Sudan

(Managed under the Project: Support to UN RC/HC in South Sudan, Project No. 00061390)

1. Background

Since the International Dialogue meeting in December 2012 in Juba, the Government and development partners have worked together on reinvigorating their dialogue and partnership. The Government has made significant progress in improving public financial management since the oil shutdown, and remains committed to strengthening the country systems that are vital for effective resource management, public confidence and international credibility, in turn opening the way toward increased use of those systems for channelling donor support over time. The international development partners display an increasing understanding and interest in strengthening capacities of the system and to enhance the policy and reform dialogue through a strengthened partnership.

As part of that partnership, the New Deal compact will serve to formalize and structure Government and development partner commitments to reform and aid effectiveness, and strengthen the dialogue between partners. The compact is expected to be launched at a High Level Partnership Forum before the end of 2013.

It is expected that the compact will consist of a short narrative with a matrix of policy benchmarks for Government and development partners.

2. Objective and outputs

In order to support and enable the development of a New Deal Compact in South Sudan, the Aid Coordination Department of the Ministry of Finance and Economic Planning (MOFEP) has requested the UN Resident Coordinator's Office (RCO) and the group of lead development partners to provide focused support for this process.

The overall *objective* of this support is to enable the joint development of the Compact through inclusive consultations with relevant stakeholder groups, and to lay the ground for effective implementation of the compact by ensuring strong and broad buy-in from an early point.

The activities to be funded by the lead development partners and implemented by the RCO will support the following more specific *outputs*:

- Sound technical preparation of the compact, building on lessons learned from South Sudan, as well as development of Compacts in other countries;
- Engagement of key stakeholder groups and dissemination of information about the compact process;
- Consensus strengthened around the content of the compact among national and international stakeholders through consultation and dialogue;
- Strengthened capacity of Government to implement, monitor, review and update the compact.

3. The process of developing and consulting on the compact

To guide the process of developing the compact, development partners and Government have established a compact Steering Committee (SC) that includes:

- The New Deal lead development partners: UK, Denmark, Netherlands, as well as the UN/UNDP.
- On the Government side: the Ministers of Finance, Commerce, Investment and Economic Planning; Defense; Foreign Affairs; Interior; Petroleum and Mining; and Cabinet Affairs, as well as the Office of the President, National Security, and the Presidential Advisor for Economic Affairs.

SC provides overall guidance and will agree on the compact towards the end of the process. The (SC) will be supported by a Technical Committee (TC) reporting to the SC.

The process of developing the South Sudan Compact will include a series of consultations, engaging a broad range of stakeholders at national and state levels, including civil society, the Parliament, the private sector and non-traditional donors. Throughout the process, there will be active and informal outreach and communication among the key stakeholders with a view to ensuring a high level of information sharing and engagement, including with state level actors, civil society and emerging donors.

The process of preparing the compact will take place in three phases followed by a fourth implementation phase (please see below overview and attached detailed consultation plan).

The specific activities and outputs over the four phases can be summarized as follows :

Phase	Activities	Outputs
1 Technical preparation (July-August)	Technical preparations to review existing background material and conceptualize, structure and draft background material for the compact, and in doing so ensuring that the compact builds on lessons learned from South Sudan and other countries.	At the end of this phase, there will be agreement about the key overall areas to be addressed by the compact. This phase will also develop a package of communication material to be used in the following consultations.
2 Consultations (August-October)	Consultations at state level and in Juba involving a broad range of stakeholders. Outreach through radio.	The Compact will be progressively drafted and a consensus consolidated throughout the process with input from the consultations.
3 Finalization and approval (October-November)	Drafting of final version of the compact, review and agreement by key stakeholders and preparations for final signing off by Government (CoM) and donor agency headquarters.	Formal approval of the compact and preparations for launch event.

4 Implementation (2014-2016)	Monitoring, review and updating of the compact on a regular basis.	Revision and adjustment of the compact as required to keep it relevant as a tool for dialogue and focused joint action.
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Phase 1: Technical preparations (July-August 2013)

The technical preparations will be undertaken by the TC with support of relevant technical experts among government and development partner agencies who are engaged in the process as well as independent experts. The technical preparations will focus on producing a clear, concise and high quality background and communications package for the subsequent broad consultations and drafting of the compact. Discussions and outreach in this phase will mainly take place in a series of separate meetings and technical workshops among development partners and government agencies.

Phase 2: Consultations (August–October 2013)

The consultations will take place at of the national and State levels.

Consultations will be held in all 10 States, and will help ensure that the compact reflects the geographical differences. Participants will include a broad range of local level actors from State Governments, and civil society.

National consultations in Juba will enable engagement with a broad range of stakeholders, including also the private sector, Parliament in addition to government, State Governors, civil society and development partners. Among international actors, an effort will be made to engage non-traditional donors, including neighboring countries, Turkey and BRICS countries. Throughout this phase, development partners are expected to consult with their capitals to prepare the ground for endorsement of the final text, and the TC supported by technical experts will reach out to a broad range of development partners to ensure a high level of information and awareness of the process.

Furthermore, radio spots will be prepared and disseminated as part of this phase to ensure maximum outreach to the population and interested stakeholder groups.

Towards the end of phase 2, a technical workshop will be convened by the Technical Committee to review and validate the final draft compact and agree on the policy matrix on the basis of a draft prepared by the Technical Committee with inputs from the consultation process.

Phase 3: Compact finalization and approval (October-November 2013)

After the drafting process in the TC, the draft compact will be discussed and agreed in the SC on the basis of instructions from donor capitals. High level briefings and other preparations for final signing off by the Council of Ministers and preparation of donor participation will take place leading up to the launch event.

Phase 4: Monitoring of compact implementation and regular reviews and updating (2014-2016)

After the launch of the compact effective monitoring of progress towards agreed benchmarks will be crucial to the success of the compact as a framework for mutual accountability, and regular reviews with possible updates of the compact and its benchmarks will be conducted to ensure that it remains relevant under changing conditions.

The Aid Coordination Department of MOFEP, with its existing capacity, will be responsible to collect and report, on a quarterly basis, on the implementation progress for high level discussions based on engagement with relevant actors, including civil society. There will be a further need to issue a substantial report on an annual basis in connection with a process of reviewing and updating the benchmarks. These annual reports and the associated revisions to the policy matrix will require intensive writing and editing accompanied by consultations with key stakeholders within Government, civil society and development partners. An international consultant will be made available for this to support the Aid Coordination Department, including with facilitation of meetings, if required, for a period of 30 days per annum.

The annual High Level Partnership Forum is expected to provide the main forum for dissemination and discussion of the implementation and review reports among a broader group of stakeholders, and the support proposal also includes a budget for organizing these meetings.

4. Duration

The support will be provided over the next three financial years until 2015/16 that is expected to be the duration of the compact. The main emphasis of the support is expected to be in Q3 and Q4 of 2013, where the compact will be developed and agreed through a series of consultations. The support for the following two years will be provided to ensure reporting on progress and a venue for dissemination and discussion of progress.

The scope of the fund and the budget will be reviewed annually aligned with the annual High-Level Partnership Forum.

5. Management arrangements, funding, monitoring and reporting

The support will be provided at the request of the Aid Coordination Department of MOFEP under overall Government leadership.

The support will be provided to MOFEP by the RCO under the responsibility of the DSRS/RC/HC and will be fully funded by the New Deal co-lead development partners (Denmark, Netherlands, and UK). The support will be implemented as part of the UNDP project "Support to UN RC/HC in South Sudan" project number 00077749. Procurement of travel, conference venues, consultants etc. will be done according to UNDP policies and procedures.

The RCO will be supported by an experienced UNDP HQ staff from July until November 2013 to ensure coordination with and outreach to stakeholders, especially on the international side and among UN agencies, and to support the process with technical expertise and background knowledge about experiences with New Deal implementation in other countries. The travel and DSA for this deployment will also be covered by the proposal.

The RCO will provide an annual financial report to the funding development partners.

The support activities, duration and budget may be adjusted as necessary by the SC to reflect the monitoring process and changes in requirements.

6. Budget

The cost of the support activities amounts to a total of USD 243,427 based on the attached detailed budget overview. The funding by the Government of Denmark (DANIDA) is USD 80,000. This section of the proposal will be amended to reflect total contributions as soon as new funding is secured.

The budget may only be changed with the approval of all funding development partners.

New Deal Compact Budget: Overview 2013-2016

US\$

Consultations 2013	
State-level	\$71,150
Central-level	\$37,470
Logistics support	\$7,500
Total	\$116,120
Monitoring of implementation 2013-2016	
Total	\$44,900
Coordination 2013	
Total	\$45,800
Total activities	\$206,820
Contingency 10%	\$20,682
Sub-total	\$227,502
7% General Management Services	\$15,925
TOTAL to be funded	\$243,427

Activity totals by fiscal year		
	2013/14	\$176,887
	2014/15	\$14,967
	2015/16	\$14,967
	TOTAL	\$206,820

Activity totals by Category	
Venue rental	\$7,500
Lunch and beverages	\$43,500
Stationery and supplies	\$6,000
Consultant fees	\$29,250
Flights	\$69,600
DSA	\$50,970
TOTAL	\$206,820

Budget: Details for consultations in 2013

US\$

Item	Unit Cost	Units	Instances	Total	Notes
1. State-level Consultations					
<i>Juba Team Travel and Accommodation</i>					
Flights	\$10,000	1	4	\$40,000	Max travel cost, incl. special flights where necessary, to complete on time
DSA including accomodation	\$120	7	10	\$8,400	Assuming Juba team is required to stay over night in 5 of 9 locations.
<i>Misc</i>					
Venue rental	\$750	1	9	\$6,750	Assuming rental for locations outside Juba
Lunch and beverages	\$25	50	10	\$12,500	
Stationery and supplies (toner, paper, pens, etc.)	\$1,000	1	1	\$1,000	
Facilitator fees	\$250	1	10	\$2,500	
TOTAL (State)				\$71,150	
2. Central-level Consultations					
Venue rental	\$750	1	1	\$750	Assuming 2 consultation events not a GATC
Domestic flights	\$400	1	9	\$3,600	Participation of State Governors in one central event, CES does not need flight
DSA including accomodation	\$215	1	18	\$3,870	For State Governors, not needed for CES
Lunch and beverages	\$25	4	270	\$27,000	
Stationery and supplies (toner, paper, pens, etc.)	\$1,000	1	1	\$1,000	
Facilitator fees	\$125	2	5	\$1,250	
TOTAL (Central)				\$37,470	
Logistics Support	\$250	1	30	\$7,500	Calculated as one month of support provided on a flexible basis Jul to Sep.
TOTAL CONSULTATION COSTS				\$116,120	

State	
Locations	10
Participants/state	45
Juba team persons (1 Facilitators, 2xRSS, 1xCSO, 1xDP, 1xTA)	7

Central	
Events	5
Participant s/event	50

Budget: Details for monitoring, review and updates 2013-2016

US\$

Item	Unit Cost	Units	Instances	Total	Notes
Annual reports					
Consultant fee	\$300	1	60	\$18,000	Assuming 20 days consultancies in each of the three years (2014-16) for report writing
Flights	\$2,000	1	3	\$6,000	
DSA	\$215	1	60	\$12,900	
Annual High Level Partnership Forum					
Venue rental	\$1,000	0	0	\$0	Assuming Government provides venue for free
Lunch and beverages	\$20	1	200	\$4,000	Assuming 50 participants in each HLF
Stationery and supplies (toner, paper, pens, etc.)	\$1,000	1	4	\$4,000	
TOTAL				\$44,900	

Budget: Details for coordination 2013

US\$

Item	Unit Cost	Units	Instances	Total	Notes
Deployment of UNDP HQ staff					
Flights	\$10,000	1	2	\$20,000	
DSA	\$215	1	120	\$25,800	
TOTAL				\$45,800	